## Topological Methods for Deep Learning

Progress of Topology and its Applications
Beijing Institute of Mathematical Sciences and its Applications
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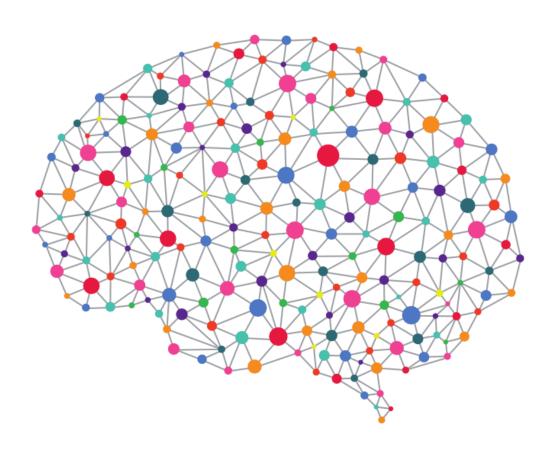
#### What is Deep Learning?

- Methodology based on neural networks
- Has produced outstanding classification results for complex data
- Images
- Text
- Molecules (Guowei Wei)

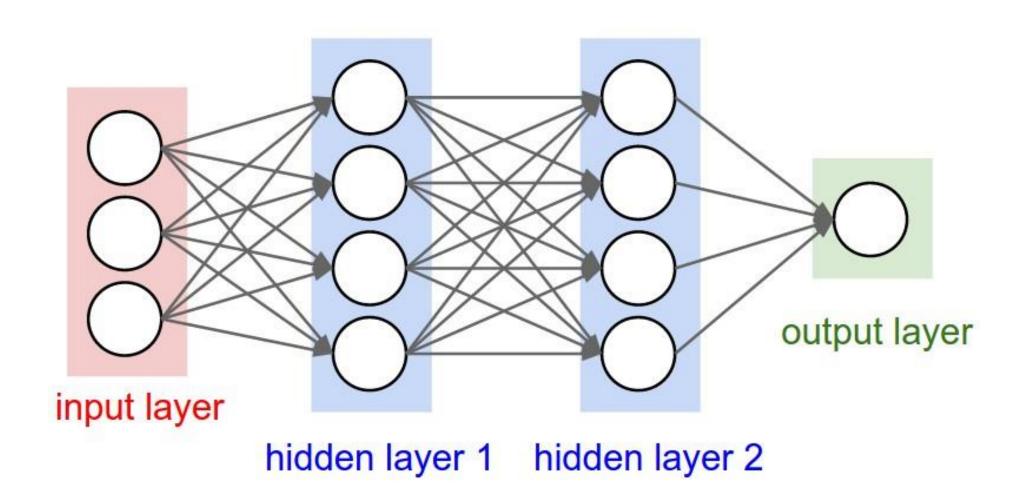
#### Problems

- Adversarial examples
- General lack of transparency
- Limits usefulness in many key domains, financial regulation, health care
- Would like to be able to learn more complex models

#### Neural Networks



#### Neural Networks



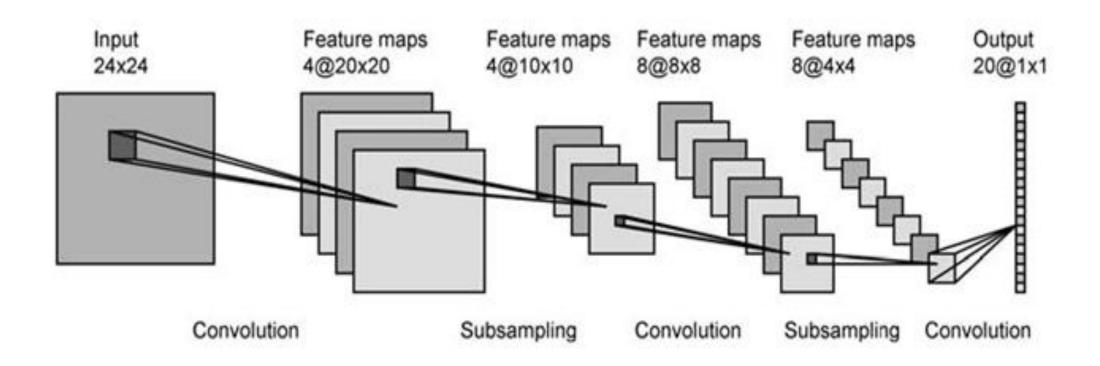
#### Neural Networks

- Given a data set and an output function, perhaps Boolean valued
- Weights are assigned to the directed edges of the network
- Activation at a node is computed using uniform function of activations of nodes connected to it
- Network is "trained" by optimization algorithms acting on the set of weights
- Final output is a formula (very large) determined by the final set of weights

#### Convolutional Neural Networks

- Structure of network adapted to specific cases
- Images (2D rectangular arrays)
- Text (1D arrays)
- Time series (1D arrays)

#### Convolutional Neural Networks



# Does Learning by CNN's Behave Like Human Learning?

Joint work with Rickard Brüel Gabrielsson

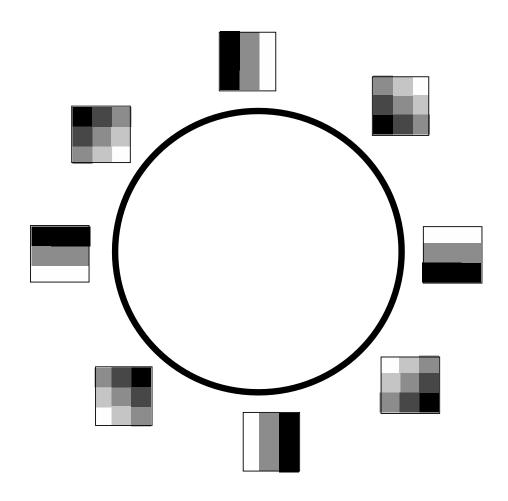
#### What Do We Want to Know?

- Can we see similarities to what we have found in image patch data?
- What happens as the network learns?
- What are the "responsibilities" of the various layers?

## Mumford Data Set (De Silva, Ishkhanov, Zomorodian, C.)

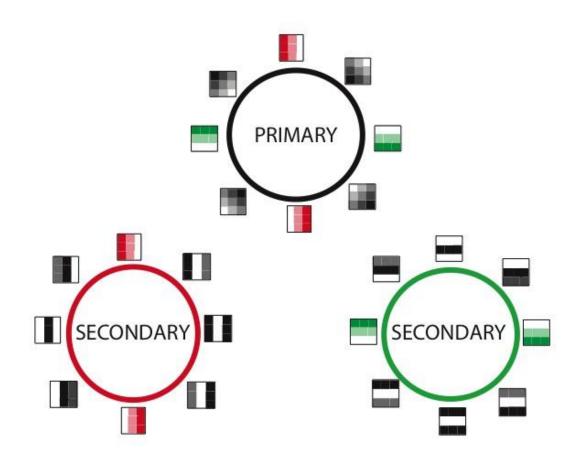
- Analysis of a data set of 3 x 3 patches in natural images
- Studied only "high variance" patches
- Studies only densest such patches (frequently occurring motifs) density proxies of varying locality
- Motivated by goal of understanding how tuning of neurons in visual cortex is affected by statistics of natural images

#### Image Patch Analysis: Primary Circle



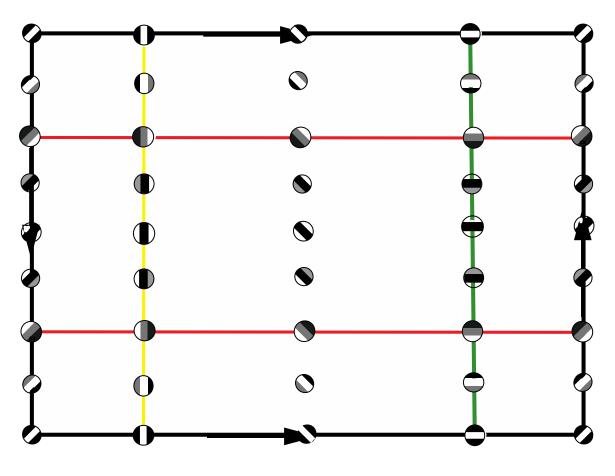
Highest density high variance patches – non-local density measure

#### Image Patch Analysis: Three Circle Model



More local density measure

## Image Patch Analysis: Klein Bottle

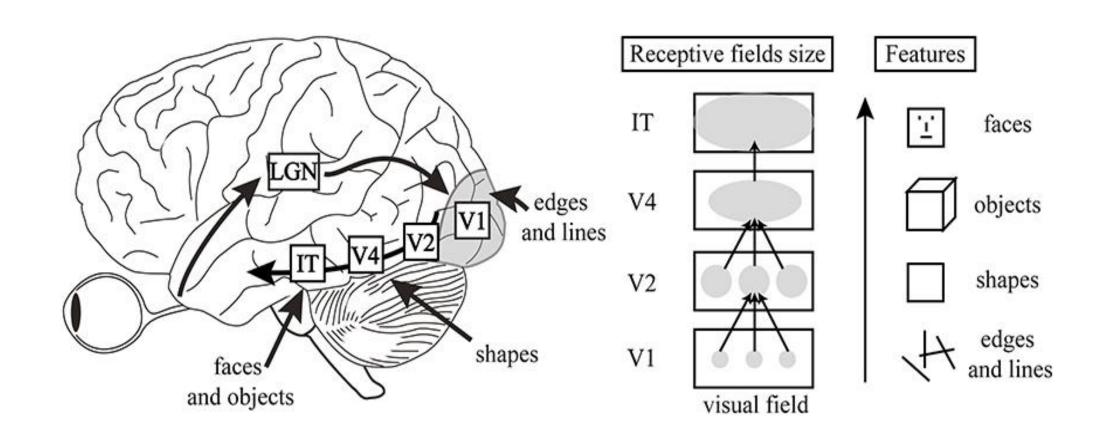


Still weaker threshold

#### Primary Visual Cortex

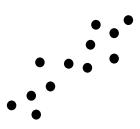
- Primary visual cortex (V1) lowest level processing beyond retina
- Higher levels (V2,V4, LGN, etc.) perform more abstract tasks
- Hubel-Wiesel show that individual neurons detect edges and lines
- Consistent with idea of compression of frequent signals

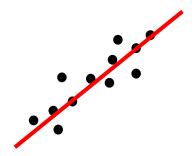
#### Visual Pathway



#### **Topological Data Analysis**

Data has shape and the shape matters

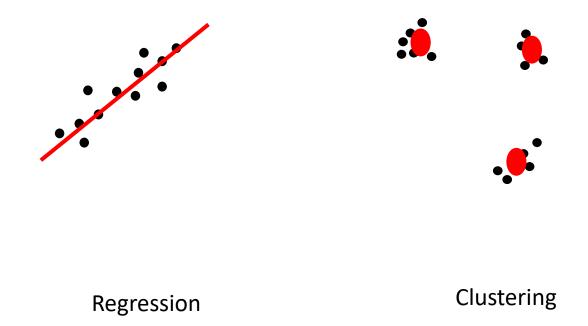


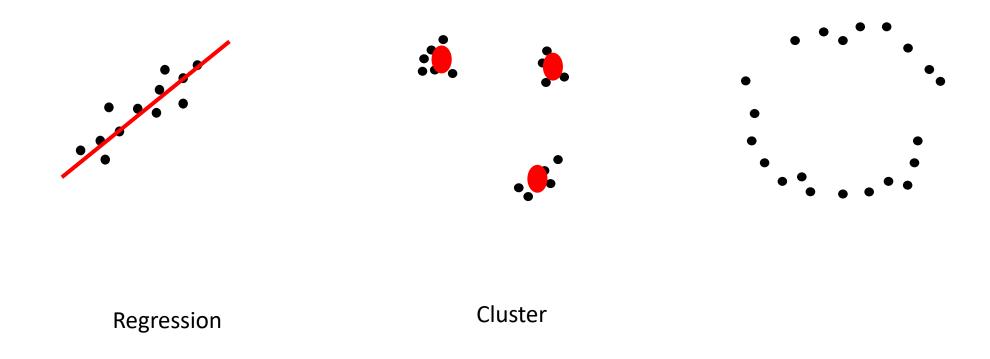


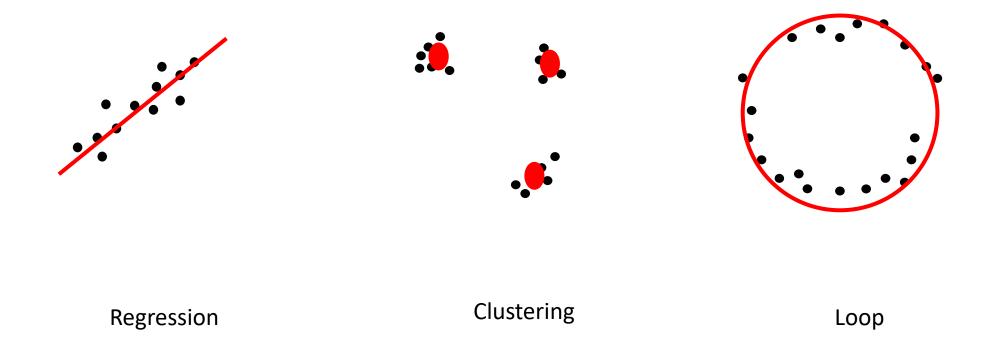
Regression

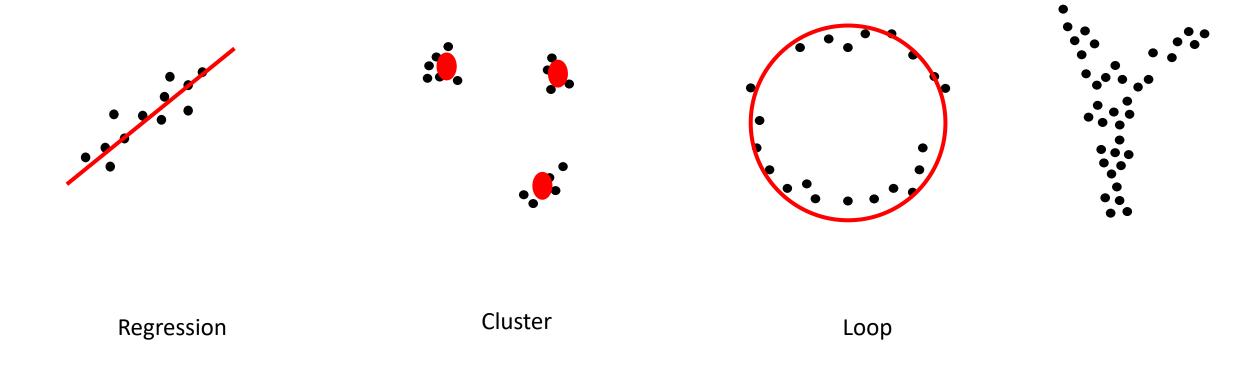


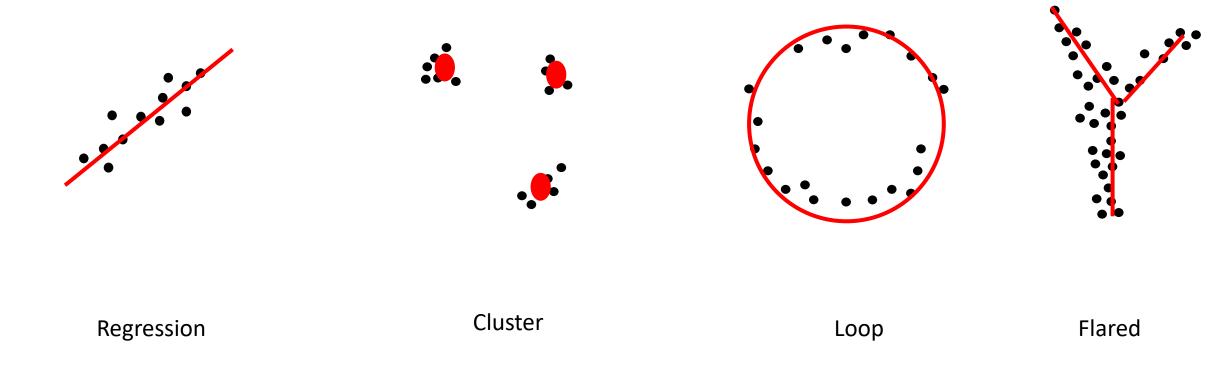
Regression



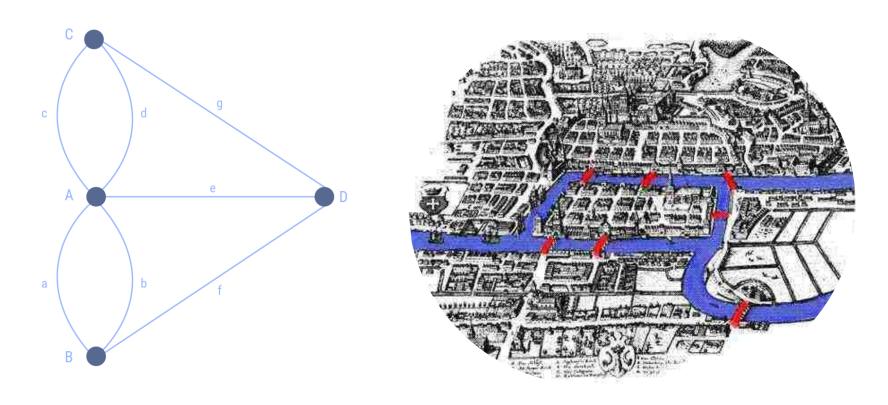








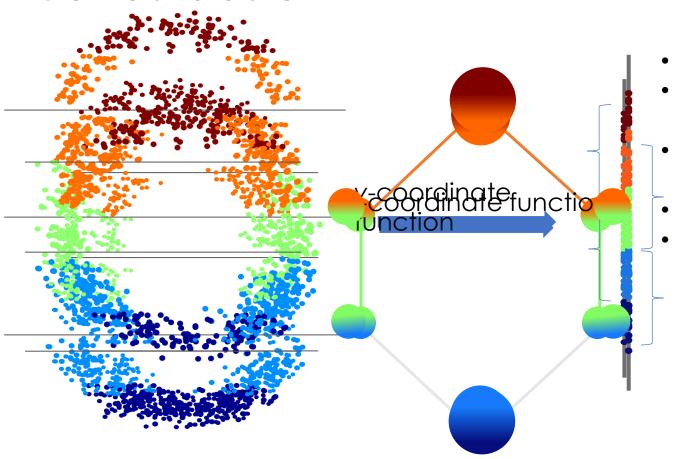
## Topology



Königsberg Bridges

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## How to Build Networks – Mapper Construction



- Apply a projection to the data set
- Use the projection to bin the data into overlapping bins
  - Cluster each bin using a fixed clustering method (requires data equipped with metric)
  - Create a node for each "partial cluster"
  - Create an edge between any two nodes whose corresponding clusters overlap

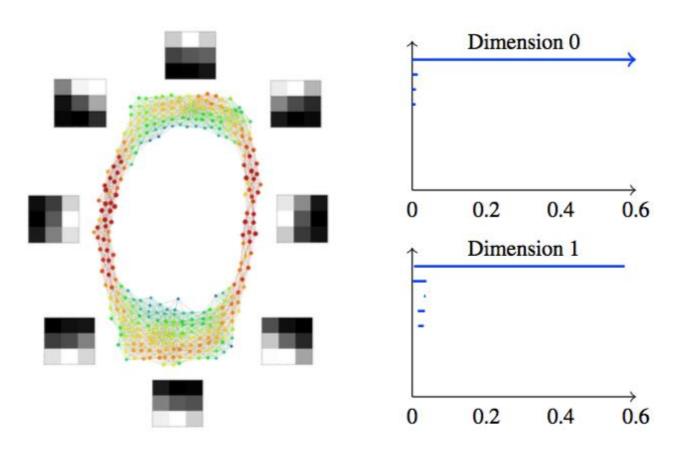
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## Topological Modeling

- Model output is a network, not equations
- Not just visualization, many capabilities
- Selection
- Segmentation
- Coloring, hot spot analysis
- Explain, justify
- Topological feature creation, selection
- Model assessment and improvement

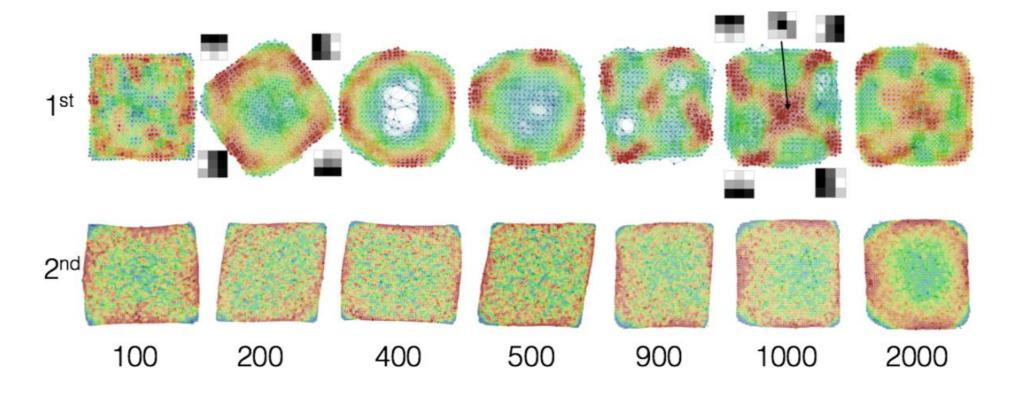
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#### Topological Analysis of Weight Spaces (MNIST)



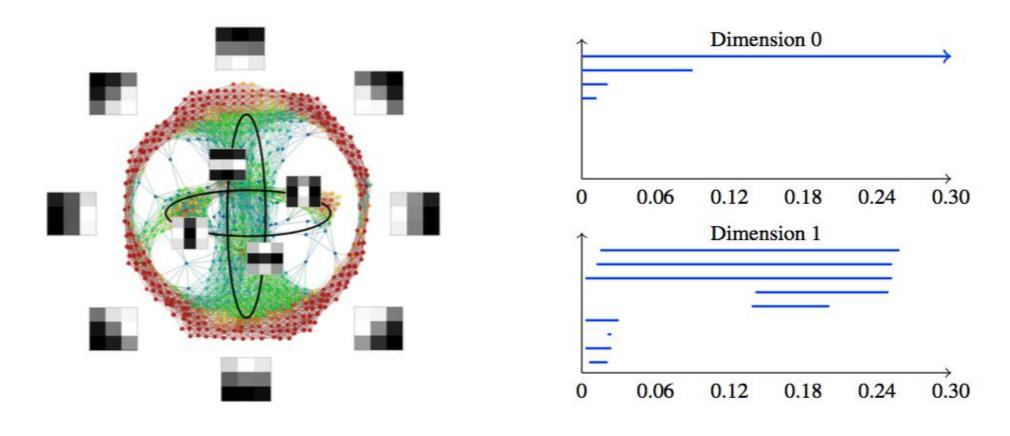
Non-local density thresholding for layer 1 of depth 2 net

## Topological Analysis of Weight Spaces (Cifar10)



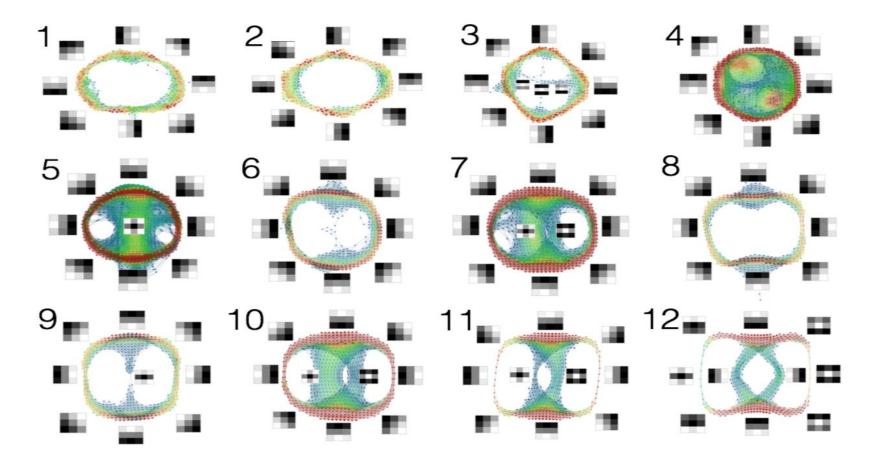
Mapper representations over the number of iterations, tightly density thresholded, gray scale reduced

## Topological Analysis of Weight Spaces (Cifar10)



<sup>1</sup>st layer, looser density thresholding, more localized density estimator, color retained

#### Topological Analysis of Weight Spaces (VGG16)



Mapper findings from each of 13 layers, same density thresholding, relatively local estimator

#### Hard Code Primary Circle and Klein Bottle

- Allows both speed up and generalization
- Speed up of 2X for MNIST and 3.5X with SVHN
- Generalization from MNIST to SVHN doubles accuracy of standard method (10% to 22%)

## TDA and Deep Architectures

#### Convolutional Situation

- Convolutional nets use the grid structure on the set of pixels in a critical way
- Pixels are the features for image data sets
- We use this a priori geometry and use it in designing the neural net
- Important in restricting the class of formulas that are considered
- Restrict to formulas that are "local" in the geometry of feature space
- Thought experiment: Time series learn derivatives
- Images learn directional derivatives
- What if there is no a priori geometry?

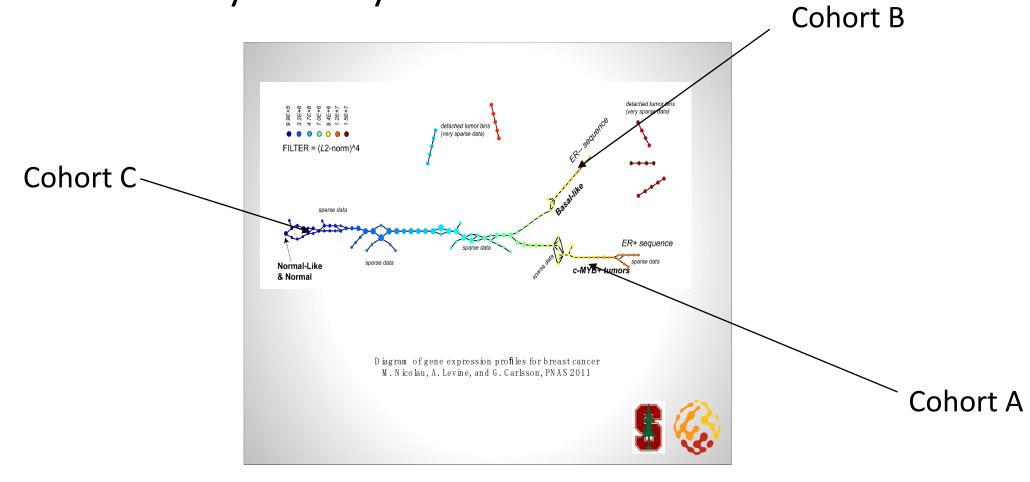
#### Discovered Geometry

- We have discovered feature sets that carry geometry
- Primary circle, Klein bottle, etc.
- Can use geometry to design architectures

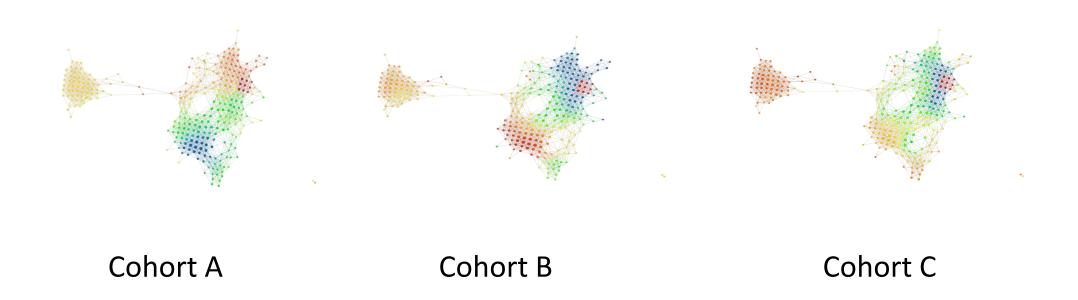
## Feature Space Modeling

- Given a data matrix, one can also consider the transpose matrix
- The rows of the transpose are the features of the data set
- When there are many features, very useful to create Mapper models
- Compresses and recognizes correlations among features
- Each row of original matrix gives a function on feature set, and on nodes of the topological model

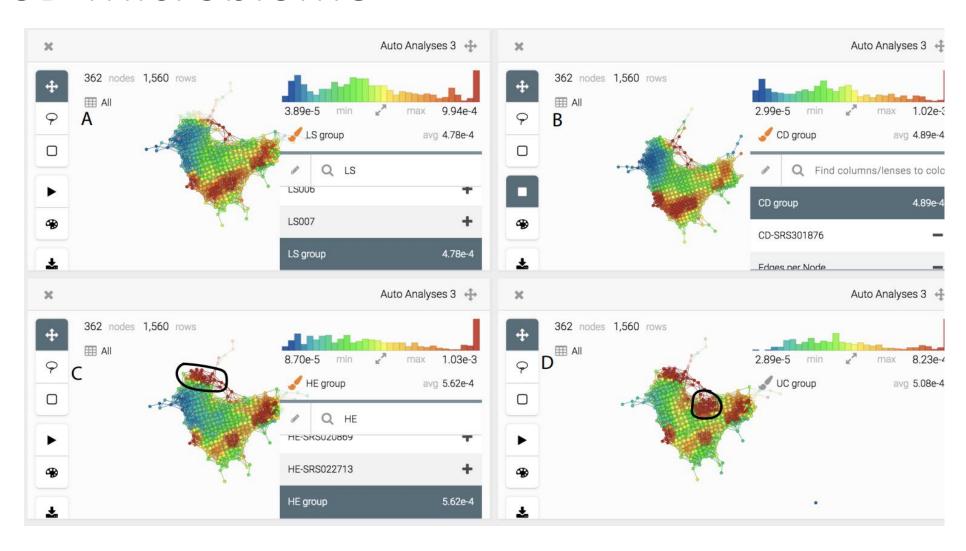
Microarray Analysis of Breast Cancer



## Explaining the Different Cohorts



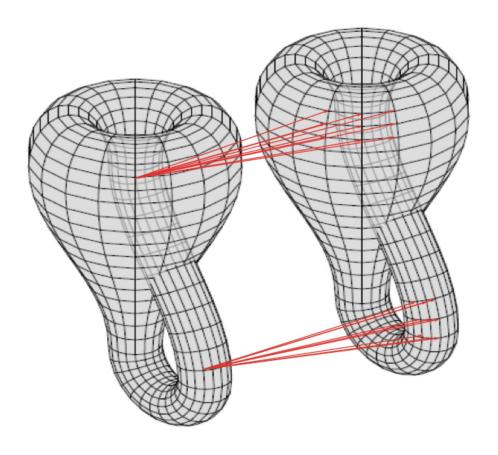
#### **UCSD** Microbiome



#### Generalized Convolutional Nets

- Feed-forward structure: {X<sub>0</sub>,...,X<sub>n</sub>,C<sub>1</sub>,..., C<sub>n</sub>}
- $X_i$ 's are sets,  $C_i:X_{i-1} \longrightarrow X_i$  is a correspondence
- This data can be used to create a network
- Nodes are elements in all X<sub>i</sub>'s, each X<sub>i</sub> is a layer
- Correspondence determines connections from X<sub>i-1 to</sub> X<sub>i</sub>
- $v \longrightarrow w$  if and only if (v,w) is in  $C_i$
- Connections only between nearby points.

### Klein Bottle Connections

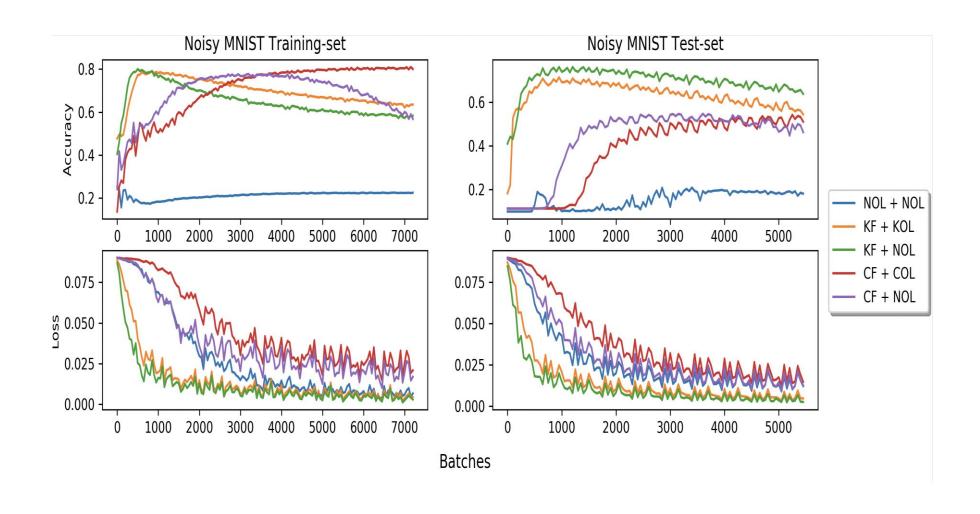


Two consecutive Klein layers in a feed forward network

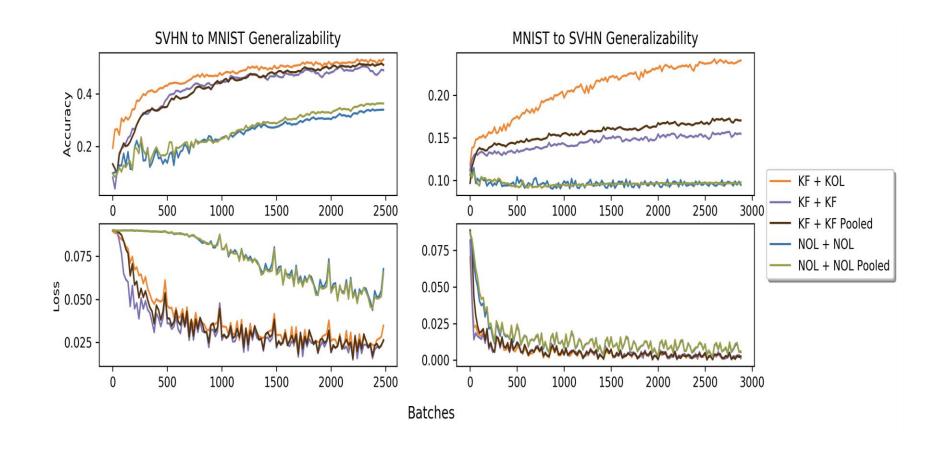
## Experiments with Topological Neural Nets

Joint work with Ben Filippenko, Ephy Love, and Vasileos Maroulas

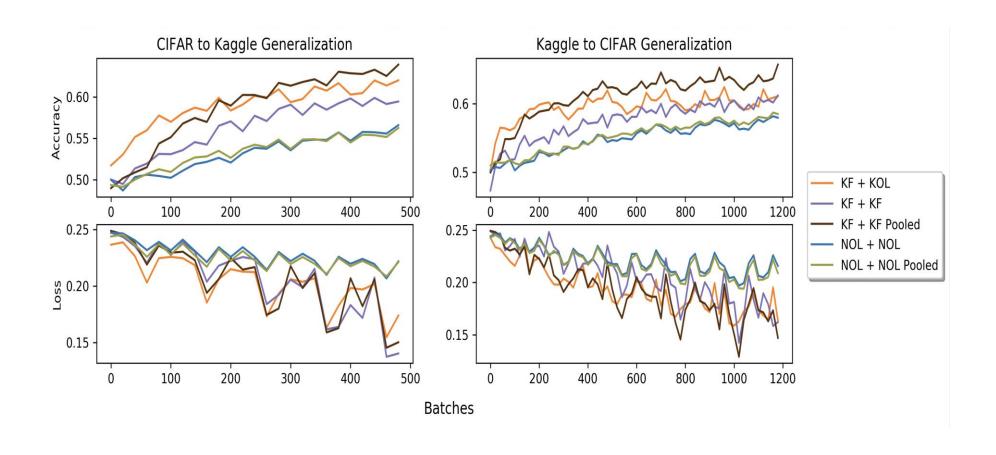
#### Generalization



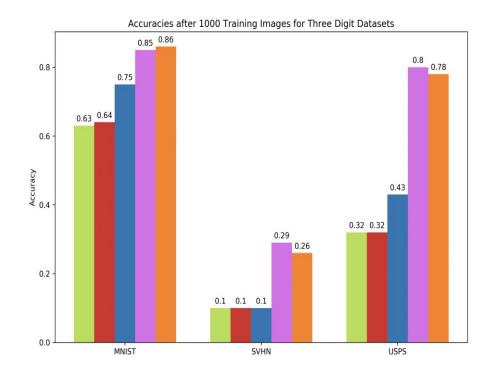
#### Generalization

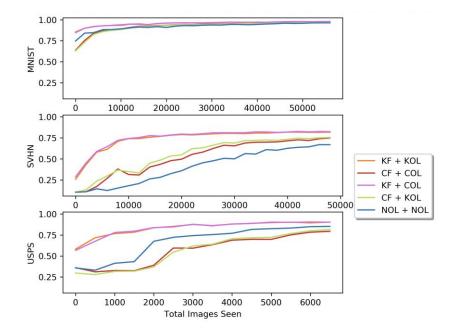


#### Generalization

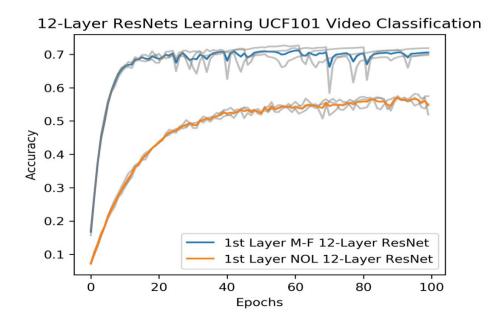


## Learning





# Learning on Video



## Gary Marcus

- Deep Learning is data hungry
- It has trouble working with prior knowledge
- It has trouble with generalization and adversarial examples
- It cannot be "engineered"

#### Thank You