

Complex K3 is simply connected.

twistor line, prove the surjectivity of the local period map for marked K3 surfaces.

N : = set of isomorphism classes of marked complex K3 surfaces

N has a natural structure as a (non-Hausdorff) complex manifold of dimension 20.

Rmk: N is not connected. Actually N has two connected

components,

For example, take a ^{marked} complex K3 surface (S, f) .

$$f: H^2(S, \mathbb{Z}) \longrightarrow \Lambda_{K3}$$

$-f$ is also a marking of S ,

Actually, (S, f) , $(S, -f)$ lie in different connected components of N .

Any complex K3 admits a unobstructed universal deformation

$$S \rightsquigarrow \begin{array}{c} \mathcal{S} \\ \downarrow \\ \text{Def}(S) \end{array} \quad \text{Def}(S) \text{ is smooth.}$$

(S, f) , then f can be extended to be marking for

all fibers of $\downarrow$
 $\text{Def}(S)$

$\text{Def}(S) \hookrightarrow N$. open subset of N .

All such $\text{Def}(S)$ glue to N , and give rise to the complex manifold structure on N .

S_1, S_2 two complex K3.
 $f_1 \quad f_2$

$\text{Def}(S_1) \cap \text{Def}(S_2) \neq \emptyset$

$S_1 \xrightarrow{f_1} \text{Def}(S_1)$
 $S_2 \xrightarrow{f_2} \text{Def}(S_2)$

There is a unique way to identify the restrictions of $(S_1, f_1), (S_2, f_2)$ to $\text{Def}(S_1) \cap \text{Def}(S_2)$.

[Lem.: The automorphism group of a complex K3 surface acts faithfully on H^2 ;

equivalently, if $g: S \rightarrow S$ biholomorphic,

and $g^*: H^2(S, \mathbb{Z}) \rightarrow H^2(S, \mathbb{Z})$ is trivial,

then g is the identity].

We have a family of marked complex K3 over N

This is the "universal" family of marked K3.

N is the "fine" moduli space of marked complex K3.

$$\Lambda_{K3} \cong U^3 \oplus E_8(-1)^2. \quad \varphi: \Lambda_{K3} \times \Lambda_{K3} \rightarrow \mathbb{Z},$$

$$D_{K3} = \{ \mathbb{P} \mid x \in (\Lambda_{K3})_{\mathbb{C}} \mid \varphi(x, x) = 0, \varphi(x, \bar{x}) > 0 \}$$

oriented

$$= \text{set of } \wedge \text{ positive planes in } (\Lambda_{K3})_{\mathbb{R}}.$$

$$\text{local period map: } \mathcal{P}: N \longrightarrow D_{K3}.$$

$$\mathcal{P}(s, f) = f[H^{2,0}(S)]$$

$d\mathcal{P}$ is an isomorphism at every point of N .

$$d\mathcal{P}: T_{(s,f)} N \xrightarrow{\cong} T_{\mathcal{P}(s,f)} D_{K3}$$

$\parallel$
 $T_{[S]}^{\text{Def}(S)} \xrightarrow[k, S']{ } H^1(S, T_S) \xrightarrow{\cong} H^1(S, \Omega_S)$

$\nwarrow \cong$

(Local Torelli), $\mathcal{P}$ is a local isomorphism.

i.e. $\forall (s, f) \in N, \exists$ open nbhd $B \ni (s, f)$,

$\mathcal{P}: B \rightarrow \mathcal{P}(B)$ is biholomorphic.

Take a complex K3 S , a marking f ,

A priori we only know $H^{0,1} = H^{1,0} = H' = 0$.

$$N \supset B \ni (s, f) \quad \mathcal{P}: B \xrightarrow[\text{biholomorphic}]{\cong} \mathcal{P}(B) \subset D_{K3}.$$

Lem (lattice theory), for any $0 \neq \alpha \in \Lambda_{K3}$, the set

$\cup g(\omega)^\perp$ is dense in D_{K3} .

$g \in \text{Aut}(\Lambda_{K3})$

(for proof, see Huybrechts' book, page 129).

$g(\omega) \in \Lambda_{K3}$. $g(\omega)^\perp := \{ [x] \in D_{K3} \mid \varphi(g(\omega), x) = 0 \}$,
19-dimensional submanifold of D_{K3} .

($\text{Aut}(\Lambda_{K3})$ has enough elements to make $\cup g(\omega)^\perp$ dense)

Choose α a primitive element of norm 4 in Λ_{K3} .

[Actually, such elements form a single $\text{Aut}(\Lambda_{K3})$ -orbit].

Then $\exists g \in \text{Aut}(\Lambda_{K3})$, such $g(\omega)^\perp \cap \mathcal{P}(B) \neq \emptyset$.

$\Rightarrow \exists (S_1, f_1) \in B$, s.t. $\mathcal{P}(S_1, f_1)$ is a generic element

in $g(\omega)^\perp$. $\Rightarrow f_1(H^{2,0}(S_1))^\perp \cap \Lambda_{K3} = \langle g(\omega) \rangle$.

$\Rightarrow S_1$ is complex K3 surface with $\text{Pic}(S_1)$ generated by

$f_1^{-1}(g(\omega))$ [a primitive vector of norm 4].

$\Rightarrow f_1^{-1}(g(\omega))$ is very ample and realizes S_1 as a

smooth quartic surface in $\mathbb{P}^3$.

Every complex K3 is deformation equivalent to certain smooth quartic surface

Facts: Each two smooth quartic surfaces are deformation

equivalent.

By weak Lefschetz, a ^{smooth} quadric surface is simply connected

Conclusion: Each two complex $K3$ surfaces are deformation equiv.

and every complex $K3$ is simply connected.

Next we discuss $\phi: N \rightarrow D_{K3}$.

Prop: ϕ factorizes uniquely over a Hausdorff space

$\bar{N}$; i.e. $\exists$: complex Hausdorff manifold $\bar{N}$ with

$$\phi: N \twoheadrightarrow \bar{N} \longrightarrow D_{K3}$$

locally biholomorphic.

Such that two $(S_1, f_1), (S_2, f_2) \in N$ map to the same

point in $\bar{N}$ iff they are inseparable in N .

[Any open nbhds of $(S_1, f_1), (S_2, f_2)$
intersect].

Verbitsky's proof of the global Torelli (and surjectivity)

for hyper-Kähler mfd. also need this prop.

Aim:

Thm: $\bar{N}$ has two connected components, each component
is mapped biholomorphically to D_{K3} .

The first step: show surjectivity

Twistor line.

Let Λ be a lattice of signature $(3, b-3)$

(for $\Lambda = \Lambda_{K3}$, $b = 22$).

$$\varphi: \Lambda \times \Lambda \rightarrow \mathbb{Z}.$$

$$\Lambda_{\mathbb{C}} \times \Lambda_{\mathbb{C}} \rightarrow \mathbb{C},$$

$W \subset \Lambda_{\mathbb{R}} (= \Lambda \otimes_{\mathbb{Z}} \mathbb{R})$ positive 3-subspace,

i.e. $\varphi: W \times W \rightarrow \mathbb{R}$ is positive,

$$D = \{ x \in \Lambda_{\mathbb{C}} \mid \varphi(x, x) = 0, \varphi(x, \bar{x}) > 0 \}$$

= set of oriented positive planes in $\Lambda_{\mathbb{R}}$.

[D is simply-connected].

$W \rightsquigarrow$ consider $T_W = D \cap \mathbb{P}(W_{\mathbb{C}})$.

Any $x \in W_{\mathbb{C}} - 0$ satisfies $\varphi(x, \bar{x}) > 0$ because $W_{\mathbb{R}}$ is pos.

$$\text{So } T_W = \{ x \in W_{\mathbb{C}} \mid \varphi(x, x) = 0 \}.$$

is a smooth quadric curve in $\mathbb{P}W_{\mathbb{C}} \cong \mathbb{P}^2$.

T_W is isomorphic to $\mathbb{P}^1$.

(Veronese embedding $\mathbb{P}^n \hookrightarrow \mathbb{P}^N$, $N = \frac{1}{2}(n+1)(n+2) - 1$.

$$[x_0, \dots, x_n] \mapsto [x_i x_j]_{0 \leq i \leq j \leq n}$$

$$n=1.$$

$$N=2.$$

}.
}

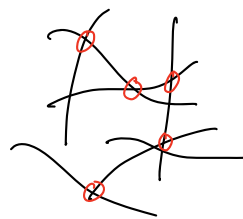
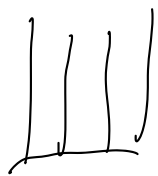
T_W is called a twistor line in $\mathcal{D}$.

$$T_W = \mathbb{P}W \subset \mathcal{D} \hookrightarrow \mathbb{P}W \subset \mathcal{D}.$$

Call T_W generic, if $\exists x \in T_W$ such that $x^\perp \cap \Lambda = 0$
 $\Leftrightarrow \exists w \in W$, s.t. $w^\perp \cap \Lambda = 0$

If W is generic, then $x^\perp \cap \Lambda = 0$ for all but finitely many $x \in T_W$.

$$\mathcal{D}: N \rightarrow \bar{N} \longrightarrow \mathcal{D}_{K3}.$$

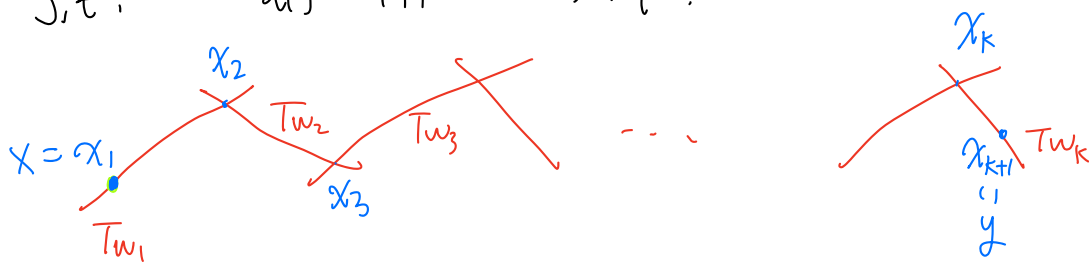


$\mathcal{P}'$ -family of $K3$ surfaces.

from Hyper-Kähler structure.

Defn: Two points $x, y \in \mathcal{D}$ are called equivalent, if $\exists$ a chain of generic twistor lines $T_{W_1}, \dots, T_{W_k}$, and points $x = x_1, x_2, \dots, x_k, x_{k+1} = y$,

s.t. $x_i, x_{i+1} \in T_{W_i}$.



Prop. Any two points $x, y \in \mathcal{D}$ are equivalent.

Pf. $x = a+bi$, $a, b \in \mathbb{A}_{\mathbb{R}}$,

$\langle a, b \rangle \subset \mathbb{A}_{\mathbb{R}}$ positive plane,

take $c \in \mathbb{A}_{\mathbb{R}}$, s.t. $\langle a, b, c \rangle = W$ positive three-subspace
 $c^\perp \cap \Lambda = 0$.

$\exists$ open nbhd U of a , V of b , s.t.

$\forall a' \in U, b' \in V$, we have $\langle a', b', c \rangle$ is a positive 3-subspace.

$\langle a, b, c \rangle = W_1$ $\langle a, b', c \rangle = W_2$, $\langle a', b', c \rangle = W_3$.

$\langle a, b \rangle \xleftrightarrow{T_{W_1}} \langle a, c \rangle \xleftrightarrow{T_{W_2}} \langle b', c \rangle \xleftrightarrow{T_{W_3}} \langle a', b' \rangle$.

W_1, W_2, W_3 are all generic since $c^\perp \cap \Lambda = 0$,

so $\langle a, b \rangle \sim \langle a', b' \rangle$ for any $a' \in U, b' \in V$.

So any equivalence orbit is open.

$\Rightarrow \mathcal{D}$ is a union of open orbits.

$\mathcal{D}$ is connected $\Rightarrow \mathcal{D}$ cannot be a disjoint union of more than one nonempty open subsets.

$\Rightarrow \mathcal{D}$ has only one orbit

$\Rightarrow$ Any two elements $x, y \in \mathcal{D}$ are equivalent. $\square$