

Selected topics on category theory

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Condensation completion

- 1 \mathcal{C} is a \mathbb{C} -linear monoidal n -category with duals.
- 2 \mathcal{C} is separable.
- 3 \mathcal{C} carries a $*$ -structure.

- [1] C. L. Douglas and D. J. Reutter, *Fusion 2-categories and a state-sum invariant for 4-manifolds*, arXiv:1812.11933.
- [2] D. Gaiotto and T. Johnson-Freyd, *Condensations in higher categories*, arXiv:1905.09566.
- [3] T. Johnson-Freyd, *On the classification of topological orders*, arXiv:2003.06663.
- [4] L. Kong and H. Zheng, *Categories of quantum liquids I*, arXiv:2011.02859.

Let \mathcal{C} be an n -category and $X, Y \in \mathcal{C}$. For $n = 0$, a **condensation** $X \rightarrowtail Y$ is an equality $X = Y$. By induction on $n \geq 1$, a **condensation** $X \rightarrowtail Y$ is a pair of 1-morphisms $f : X \rightarrow Y$ and $g : Y \rightarrow X$ together with a condensation $f \circ g \rightarrowtail \text{Id}_Y$. We say that Y is a **condensate** of X if there exists a condensation $X \rightarrowtail Y$.

For $n = 0$, Y is a condensate of X if and only if $Y = X$.

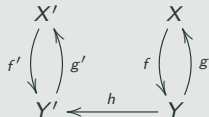
For $n = 1$, a condensation $X \rightarrowtail Y$ is a pair of 1-morphisms $Y \xrightarrow{g} X \xrightarrow{f} Y$ such that $f \circ g = \text{Id}_Y$. Therefore, a condensate is nothing but a retract. Note that the 1-morphism $g \circ f : X \rightarrow X$ is idempotent.

$$\text{Id}_X : X \rightarrow X.$$

- a pair of 1-morphisms $Y \xrightarrow{g_1} X \xrightarrow{f_1} Y$,
- a pair of 2-morphisms $\text{Id}_Y \xrightarrow{g_2} f_1 \circ g_1 \xrightarrow{f_2} \text{Id}_Y$,
- a pair of 3-morphisms $\text{Id}_{\text{Id}_Y} \xrightarrow{g_3} f_2 \circ g_2 \xrightarrow{f_3} \text{Id}_{\text{Id}_Y}, \dots$,
- a pair of n -morphisms $\text{Id} \dots \text{Id}_Y \xrightarrow{g_n} f_{n-1} \circ g_{n-1} \xrightarrow{f_n} \text{Id} \dots \text{Id}_Y$ such that $f_n \circ g_n = \text{Id} \dots \text{Id}_Y$.

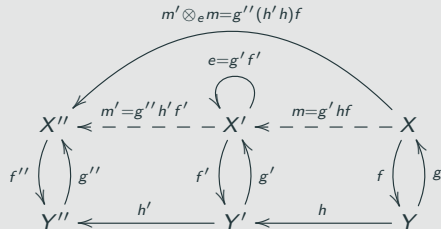
The relation of condensation is transitive. That is, giving a pair of condensations $X \rightarrowtail Y \rightarrowtail Z$ defined by $X \xrightarrow{f} Y \xrightarrow{f'} Z$, $X \xleftarrow{g} Y \xleftarrow{g'} Z$ and $f \circ g \rightarrowtail \text{Id}_Y$, $f' \circ g' \rightarrowtail \text{Id}_Z$, we have a condensation $X \rightarrowtail Z$ defined by $X \xrightarrow{f' \circ f} Z$, $X \xleftarrow{g \circ g'} Z$ and the composition $f' \circ f \circ g \circ g' \rightarrowtail f' \circ \text{Id}_Y \circ g' \rightarrowtail \text{Id}_Z$.

- a pair of objects X and Y ,
- a pair of 1-morphisms $Y \xrightarrow{g_1} X \xrightarrow{f_1} Y$,
- a pair of 2-morphisms $\text{Id}_Y \xrightarrow{g_2} f_1 \circ g_1 \xrightarrow{f_2} \text{Id}_Y$,
- a pair of 3-morphisms $\text{Id}_{\text{Id}_Y} \xrightarrow{g_3} f_2 \circ g_2 \xrightarrow{f_3} \text{Id}_{\text{Id}_Y}, \dots$,
- a pair of n -morphisms $\text{Id} \dots \text{Id}_Y \xrightarrow{g_n} f_{n-1} \circ g_{n-1} \xrightarrow{f_n} \text{Id} \dots \text{Id}_Y$ such that $f_n \circ g_n = \text{Id} \dots \text{Id}_Y$.



Definition

The composition $m' \otimes_e m$ of two condensation bimodules m' and m over e is defined to be the condensate of the canonical condensation monad on $m'm$:



2. Idempotent completion

Definition

Let \mathcal{C} be a 1-category. The **idempotent completion** or **Karoubi completion** of \mathcal{C} is an idempotent complete 1-category $\text{Kar}(\mathcal{C})$ equipped with a functor $\iota : \mathcal{C} \rightarrow \text{Kar}(\mathcal{C})$ such that composition with ι induces an equivalence

$$\text{Fun}(\text{Kar}(\mathcal{C}), \mathcal{D}) \simeq \text{Fun}(\mathcal{C}, \mathcal{D})$$

for any idempotent complete 1-category \mathcal{D} .

Remark

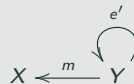
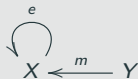
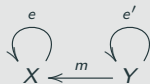
For $\mathcal{C}, \mathcal{D} \in \text{Cat}_1$, we have a functor $\text{Fun}(\mathcal{C}, \mathcal{D}) \rightarrow \text{Fun}(\mathcal{C}, \text{Kar}(\mathcal{D})) \simeq \text{Fun}(\text{Kar}(\mathcal{C}), \text{Kar}(\mathcal{D}))$. We obtain a functor

$$\text{Kar} : \text{Cat}_1 \rightarrow \text{KarCat}_1, \quad \mathcal{C} \mapsto \text{Kar}(\mathcal{C})$$

which is left adjoint to the inclusion $\text{KarCat}_1 \hookrightarrow \text{Cat}_1$.

Definition

Let $e : X \rightarrow X$ and $e' : Y \rightarrow Y$ be idempotents. An e - e' -bimodule is 1-morphism $m : Y \rightarrow X$ such that $e \circ m = m = m \circ e'$. In the special case where $e' = \text{Id}_Y$ we say that m is a **left e -module**. In the special case where $e = \text{Id}_X$ we say that m is a **right e' -module**.



Example

If $f : X \rightarrow Y$ and $g : Y \rightarrow X$ exhibit Y as a retract of X , then g is a left $g \circ f$ -module and f is a right $g \circ f$ -module.



Proposition

Suppose that $f : X \rightarrow Y$ and $g : Y \rightarrow X$ exhibit Y as a retract of X . Then f (resp. g) exhibits Y as the

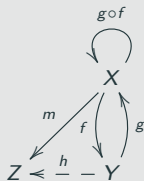


colimit (resp. limit) of the circle diagram X .

Therefore, if an idempotent admits a retract, then the retract is unique up to unique isomorphism.

Proof.

Let $m : X \rightarrow Z$ be a 1-morphism such that $m \circ (g \circ f) = m$, i.e. m is a right $g \circ f$ -module. We need to show that there exists a unique h rendering $m = h \circ f$. Indeed, h has to be $m \circ g$.

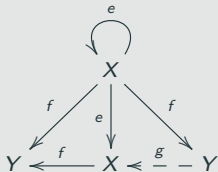


Proposition

Conversely, let $e : X \rightarrow X$ be an idempotent. If the circle diagram X admits a colimit (resp. limit) Y , then Y is a retract of X .

Proof.

Suppose that $f : X \rightarrow Y$ exhibits Y as the colimit of the circle diagram. Then there exists a unique $g : Y \rightarrow X$ rendering $e = g \circ f$. By the universal property of a colimit, $\text{Id}_Y = f \circ g$.



Theorem

Define a 1-category $\text{Kar}(\mathcal{C})$ of which an object is an idempotent $e : X \rightarrow X$ and a 1-morphism is a bimodule. Then the functor $\iota : \mathcal{C} \rightarrow \text{Kar}(\mathcal{C})$, $X \mapsto \text{Id}_X$ exhibits $\text{Kar}(\mathcal{C})$ as the idempotent completion of \mathcal{C} .

Proof.

First, $\text{Kar}(\mathcal{C})$ is idempotent complete: an idempotent $d : e \rightarrow e$ admits a retract d .

Let \mathcal{D} be an idempotent complete 1-category. Given a functor $F : \mathcal{C} \rightarrow \mathcal{D}$, we define a functor $\hat{F} : \text{Kar}(\mathcal{C}) \rightarrow \mathcal{D}$ as follows. On object, $\hat{F}(e)$ is a retract of $F(e)$. On morphism, $\hat{F}(m)$ is induced by $F(m)$.

$$\begin{array}{ccc}
 F(e) \begin{array}{c} \curvearrowright \\ \searrow \end{array} F(X) & \longrightarrow & \hat{F}(e) \\
 \downarrow F(m) & & \downarrow \hat{F}(m) \\
 F(e') \begin{array}{c} \curvearrowright \\ \searrow \end{array} F(X') & \longrightarrow & \hat{F}(e')
 \end{array}$$

In this way, we obtain a functor $\text{Fun}(\mathcal{C}, \mathcal{D}) \rightarrow \text{Fun}(\text{Kar}(\mathcal{C}), \mathcal{D})$, $F \mapsto \hat{F}$. It is clear that $\hat{F} \circ \iota \simeq F$. By the uniqueness of retract, $\widehat{G \circ \iota} \simeq G$ for $G : \text{Kar}(\mathcal{C}) \rightarrow \mathcal{D}$. □

Remark

If \mathcal{C} is additive, then $\text{Kar}(\mathcal{C})$ is also additive: the direct sum of two idempotents is also an idempotent and the sum of two bimodules is also a bimodule.

Remark

The functor $\iota : \mathcal{C} \rightarrow \text{Kar}(\mathcal{C})$ is fully faithful.

Let \mathcal{C} be a (small) 1-category and let $\mathcal{P}(\mathcal{C}) = \text{Fun}(\mathcal{C}^{\text{op}}, \text{Cat}_0)$ be the category of presheaves. An object $F \in \mathcal{P}(\mathcal{C})$ is **tiny** or **completely compact** if the representable functor $\text{Hom}_{\mathcal{P}(\mathcal{C})}(F, -) : \mathcal{P}(\mathcal{C}) \rightarrow \text{Cat}_0$ preserves (small) colimits. We use $\text{Cau}(\mathcal{C})$ to denote the full subcategory of $\mathcal{P}(\mathcal{C})$ formed by tiny objects and refer to it as the **Cauchy completion** of \mathcal{C} . The Yoneda embedding $j : \mathcal{C} \hookrightarrow \mathcal{P}(\mathcal{C})$ factors through $\text{Cau}(\mathcal{C})$.

Theorem

The Yoneda embedding $j : \mathcal{C} \hookrightarrow \text{Cau}(\mathcal{C})$ exhibits $\text{Cau}(\mathcal{C})$ as the idempotent completion of \mathcal{C} .

Proof.

Since Cat_0 has (small) colimits, it is idempotent complete. Thus the embedding $\mathcal{C} \hookrightarrow \text{Kar}(\mathcal{C})$ induces an equivalence $\mathcal{P}(\text{Kar}(\mathcal{C})) \simeq \mathcal{P}(\mathcal{C})$ so that $\text{Cau}(\text{Kar}(\mathcal{C})) \simeq \text{Cau}(\mathcal{C})$. Thus $j : \mathcal{C} \hookrightarrow \text{Cau}(\mathcal{C})$ factors through $\text{Kar}(\mathcal{C})$. It remains to show that every $F \in \text{Cau}(\mathcal{C})$ is a retract of some $j(X)$.

Suppose $F = \varinjlim j(X_\alpha)$. Then $\text{Hom}_{\mathcal{P}(\mathcal{C})}(F, F) \simeq \varinjlim \text{Hom}_{\mathcal{P}(\mathcal{C})}(F, j(X_\alpha))$. Therefore, Id_F is the image of some $F \rightarrow j(X_\alpha)$, i.e. Id_F factors through $j(X_\alpha)$. That is, F is a retract of $j(X_\alpha)$. \square

3. Condensation completion

Definition

Let \mathcal{C} be a n -category. The **condensation completion** or **Karoubi completion** of \mathcal{C} is a n -category $\text{Kar}(\mathcal{C})$ equipped with a functor $\iota : \mathcal{C} \rightarrow \text{Kar}(\mathcal{C})$ such that composition with ι induces an equivalence

$$\text{Fun}(\text{Kar}(\mathcal{C}), \mathcal{D}) \simeq \text{Fun}(\mathcal{C}, \mathcal{D})$$

for any condensation complete n -category \mathcal{D} .

Remark

For $\mathcal{C}, \mathcal{D} \in \text{Cat}_n$, we have a functor $\text{Fun}(\mathcal{C}, \mathcal{D}) \rightarrow \text{Fun}(\mathcal{C}, \text{Kar}(\mathcal{D})) \simeq \text{Fun}(\text{Kar}(\mathcal{C}), \text{Kar}(\mathcal{D}))$. We obtain a functor

$$\text{Kar} : \text{Cat}_n \rightarrow \text{KarCat}_n, \quad \mathcal{C} \mapsto \text{Kar}(\mathcal{C})$$

which is left adjoint to the inclusion $\text{KarCat}_n \hookrightarrow \text{Cat}_n$.

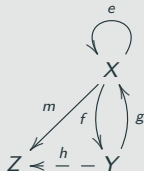
Proposition

Let \mathcal{C} be an n -category whose hom categories are condensation complete. Suppose that a condensation monad $e : \clubsuit_n \rightarrow \mathcal{C}$ admits a condensate Y . Then Y is the colimit (resp. limit) of the diagram e .

Therefore, if a condensation monad admits a condensate, then the condensate is unique up to a contractible space of choices.

Proof.

Let $m : X \rightarrow Z$ be a right e -module. If $m = h \circ f$, then the condensation $f \circ g \rightarrow \text{Id}_Y$ induces a condensation $m \circ g \rightarrow h$. Indeed, there is a condensation monad on $m \circ g$ taking the desired h as a condensate.

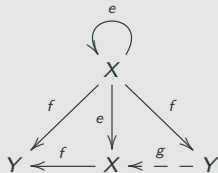


Proposition

Conversely, if a condensation monad $e : \clubsuit_n \rightarrow \mathbb{C}$ admits a colimit (resp. limit) Y , then Y is a condensate of e .

Proof.

Suppose that $f : X \rightarrow Y$ exhibits Y as the colimit of the diagram e . Then the trivial right e -module $e : X \rightarrow X$ determines a 1-morphism $g : Y \rightarrow X$. Moreover, the condensation $f \circ e \rightarrow f$ determines a condensation $f \circ g \rightarrow \text{Id}_Y$.



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11 of 13

Proof.

Let \mathcal{D} be a condensation complete n -category. Given a functor $F : \mathcal{C} \rightarrow \mathcal{D}$, we define a functor $\hat{F} : \text{Kar}(\mathcal{C}) \rightarrow \mathcal{D}$ as follows. On object, $\hat{F}(e)$ is a condensate of $F(e)$. On morphism, $\hat{F}(m)$ is induced by $F(m)$.

$$\begin{array}{ccc} F(e) \circlearrowleft & F(X) \longrightarrow & \hat{F}(e) \\ & \downarrow F(m) & \downarrow \hat{F}(m) \\ F(e') \circlearrowleft & F(X') \longrightarrow & \hat{F}(e') \end{array}$$

☐

Remark

If \mathcal{C} is additive, then $\text{Kar}(\mathcal{C})$ is also additive. Therefore, the functor $\text{Kar} : \text{Cat}_n \rightarrow \text{KarCat}_n$ restricts to a functor

$$\text{Kar} : \text{Cat}_n^+ \rightarrow \text{KarCat}_n^+, \quad \mathcal{C} \mapsto \text{Kar}(\mathcal{C})$$

which is left adjoint to the inclusion $\text{KarCat}_n^+ \hookrightarrow \text{Cat}_n^+$.

Remark

Recall that $\text{Cat}_n^R = \text{Fun}^+(B^{n+1}R, \text{Cat}_n^+)$ and $\text{KarCat}_n^R = \text{Fun}^+(B^{n+1}R, \text{KarCat}_n^+)$.

The functor $\text{Kar} : \text{Cat}_n^+ \rightarrow \text{KarCat}_n^+$ induces a functor

$$\text{Kar} : \text{Cat}_n^R \rightarrow \text{KarCat}_n^R, \quad \mathcal{C} \mapsto \text{Kar}(\mathcal{C})$$

which is left adjoint to the inclusion $\text{KarCat}_n^R \hookrightarrow \text{Cat}_n^R$.

Let \mathcal{C} be a (small) n -category whose hom categories are condensation complete. Let $\mathcal{P}(\mathcal{C}) = \text{Fun}(\mathcal{C}^{\text{op}}, \text{KarCat}_{n-1})$. An object $F \in \mathcal{P}(\mathcal{C})$ is **tiny** or **completely compact** if the representable functor $\text{Hom}_{\mathcal{P}(\mathcal{C})}(F, -) : \mathcal{P}(\mathcal{C}) \rightarrow \text{KarCat}_{n-1}$ preserves (small) colimits. We use $\text{Cau}(\mathcal{C})$ to denote the full subcategory of $\mathcal{P}(\mathcal{C})$ formed by tiny objects and refer to it as the **Cauchy completion** of \mathcal{C} . The Yoneda embedding $j : \mathcal{C} \hookrightarrow \mathcal{P}(\mathcal{C})$ factors through $\text{Cau}(\mathcal{C})$.

Theorem

The Yoneda embedding $j : \mathcal{C} \hookrightarrow \text{Cau}(\mathcal{C})$ exhibits $\text{Cau}(\mathcal{C})$ as the condensation completion of \mathcal{C} .

Proof.

Since KarCat_{n-1} has (small) colimits, it is condensation complete. Thus the embedding $\mathcal{C} \hookrightarrow \text{Kar}(\mathcal{C})$ induces an equivalence $\mathcal{P}(\text{Kar}(\mathcal{C})) \simeq \mathcal{P}(\mathcal{C})$ so that $\text{Cau}(\text{Kar}(\mathcal{C})) \simeq \text{Cau}(\mathcal{C})$. Thus $j : \mathcal{C} \hookrightarrow \text{Cau}(\mathcal{C})$ factors through $\text{Kar}(\mathcal{C})$. It remains to show that every $F \in \text{Cau}(\mathcal{C})$ is a condensate of some $j(X)$.

Suppose $F = \varinjlim j(X_\alpha)$. Then $\text{Hom}_{\mathcal{P}(\mathcal{C})}(F, F) \simeq \varinjlim \text{Hom}_{\mathcal{P}(\mathcal{C})}(F, j(X_\alpha))$. Let $\mathcal{D} \subset \text{Hom}_{\mathcal{P}(\mathcal{C})}(F, F)$ be the full subcategory formed by the images of $\text{Hom}_{\mathcal{P}(\mathcal{C})}(F, j(X_\alpha))$. Then $\text{Kar}(\mathcal{D}) = \text{Hom}_{\mathcal{P}(\mathcal{C})}(F, F)$. Therefore, there exists $g : F \rightarrow j(X_\alpha)$ such that Id_F is a condensate of the image of g . That is, F is a condensate of $j(X_\alpha)$. \square

Let \mathcal{C} be a (small) condensation complete monoidal n -category. We use $\Sigma\mathcal{C}$ to denote $\text{Kar}(B\mathcal{C})$. Then the functor

$$\Sigma : E_m \text{KarCat}_n \rightarrow E_{m-1} \text{KarCat}_{n+1}$$

is left adjoint to

$$\Omega : E_{m-1} \text{KarCat}_{n+1} \rightarrow E_m \text{KarCat}_n.$$

Note that $\mathcal{P}(B\mathcal{C}) = \text{Fun}(B\mathcal{C}^{\text{rev}}, \text{KarCat}_n) = \text{RMod}_{\mathcal{C}}(\text{KarCat}_n)$. The embedding $\Sigma\mathcal{C} \hookrightarrow \text{RMod}_{\mathcal{C}}(\text{KarCat}_n)$ is given by $X \mapsto \text{Hom}_{\Sigma\mathcal{C}}(\bullet, X)$.

Theorem

Let \mathcal{C} be a (small) condensation complete monoidal n -category. The functor $\text{Hom}_{\Sigma\mathcal{C}}(\bullet, -) : \Sigma\mathcal{C} \rightarrow \text{RMod}_{\mathcal{C}}(\text{KarCat}_n)$ is fully faithful. Moreover, the following conditions are equivalent for an object $\mathcal{M} \in \text{RMod}_{\mathcal{C}}(\text{KarCat}_n)$:

- 1** \mathcal{M} belongs to the essential image.
- 2** The functor $\text{Fun}_{\mathcal{C}^{\text{rev}}}(\mathcal{M}, -) : \text{RMod}_{\mathcal{C}}(\text{KarCat}_n) \rightarrow \text{KarCat}_n$ preserves (small) colimits.
- 3** The evaluation functor $\text{Fun}_{\mathcal{C}^{\text{rev}}}(\mathcal{M}, \mathcal{C}) \times \mathcal{M} \rightarrow \mathcal{C}$ exhibits the left \mathcal{C} -module $\text{Fun}_{\mathcal{C}^{\text{rev}}}(\mathcal{M}, \mathcal{C})$ dual to \mathcal{M} .
- 4** \mathcal{M} has a left dual in $\text{LMod}_{\mathcal{C}}(\text{KarCat}_n)$.

Proof.

(1) \Leftrightarrow (2) is due to the previous theorem. (2) \Rightarrow (3) The unit map is $\bullet \rightarrow \text{Fun}_{\mathcal{C}^{\text{rev}}}(\mathcal{M}, \mathcal{M}) \simeq \mathcal{M} \times_{\mathcal{C}} \text{Fun}_{\mathcal{C}^{\text{rev}}}(\mathcal{M}, \mathcal{C})$. (3) \Rightarrow (4) is trivial. (4) \Rightarrow (2) $\text{Fun}_{\mathcal{C}^{\text{rev}}}(\mathcal{M}, -) \simeq - \times_{\mathcal{C}} \mathcal{M}^L$. \square

4. Duality

Definition

A condensation $X \rightrightarrows Y$ is **unital** if the 2-morphism $f \circ g \rightarrow \text{Id}_Y$ exhibits $f : X \rightarrow Y$ left dual to $g : Y \rightarrow X$. A condensation $X \rightrightarrows Y$ is **counital** if the 2-morphism $\text{Id}_Y \rightarrow f \circ g$ exhibits $f : X \rightarrow Y$ right dual to $g : Y \rightarrow X$.

Remark

If a condensation $X \rightrightarrows Y$ is unital, then the unit map $u : \text{Id}_X \rightarrow g \circ f$ supplies a unit for the nonunital algebra $g \circ f$ in $\text{Hom}_{\mathcal{C}}(X, X)$. If a condensation $X \rightrightarrows Y$ is counital, then the counit map $g \circ f \rightarrow \text{Id}_X$ supplies a counit for the nonunital coalgebra $g \circ f$.

Proposition

Let $f : X \rightarrowtail Y$ be a condensation. If the 1-morphism $f : X \rightarrow Y$ admits a right adjoint f^R , then the counit map $v : f \circ f^R \rightarrow \text{Id}_Y$ extends to a condensation (in particular, the condensation $f : X \rightarrowtail Y$ can be modified to a unital one).

Proof.

Let u' be the composition $\text{Id}_Y \xrightarrow{g_1} f \circ g \xrightarrow{u} f \circ f^R \circ f \circ g \xrightarrow{f_1} f \circ f^R$. Then $v \circ u' \simeq f_1 \circ g_1$ condense to Id_{Id_Y} . □

Remark

In an n -category \mathcal{C} that has duals, we may assume a condensation $f : X \rightarrowtail Y$ is given by consecutive counit maps $v_1 : f \circ f^R \rightarrow \text{Id}_Y$, $v_2 : v_1 \circ v_1^R \rightarrow \text{Id}_{\text{Id}_Y}$, ..., such that v_{n-1} is a retraction.

Proof.

We need to show that every 1-morphism $\mu : Y \rightarrow Y'$ in $\text{Kar}(\mathcal{C})$ admits a right dual. Choose condensations $f : X \rightarrowtail Y$ and $f' : X' \rightarrowtail Y'$ where $X, X' \in \mathcal{C}$.

In general, $f' \circ (f'^R \circ \mu \circ f) \circ f^L$ condense to μ . Thus $f \circ (f'^R \circ \mu \circ f)^R \circ f'^R$ supports a condensation monad, condensing to the desired μ^R . \square



